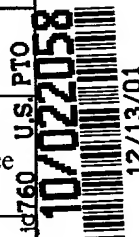


12-20-01

A

12/13/01



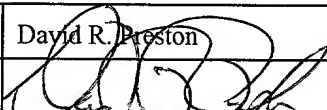
|  |  |  |
|--|--|--|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><br>(Only for new nonprovisional<br>applications under 37 C.F.R. §<br>1.53(b))  | Attorney Docket No.  | To be Determined   |
|  | First Inventor or<br>Application Identifier  | Huang et al.   |
|  | Title  | Active and Biocompatible Platforms<br>Prepared by Polymerization of Surface<br>Coating Films |
|  | Express Mail Label No.   | EL892180360US  |
| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility<br>patent application contents  | ADDRESS TO: Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231 |  |
| 1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g. PTO/SB/17)<br>(Submit an original and a duplicate for fee processing)<br>2. <input checked="" type="checkbox"/> Specification [Total Pages of Application [ 82]]<br>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets [10 ]]<br>4. Oath or Declaration<br>a. <input checked="" type="checkbox"/> Newly executed (original or copy)<br>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d))<br>(For continuation/divisional with Box 16 completed)<br>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u><br>Signed statement attached deleting inventor(s) named in the prior application<br>See 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).<br><br><u>*NOTE FOR ITEMS 1 &amp; 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL<br/>         ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27); EXCEPT IF ONE FILED IN A PRIOR<br/>         APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).</u><br><br>5. <input type="checkbox"/> Microfiche Computer Program (Appendix)<br>6. Nucleotide and/or Amino Acid Sequence Submission<br>(If applicable, all necessary)<br>a. <input type="checkbox"/> Computer Readable Copy<br>b. <input type="checkbox"/> Paper Copy (identical to computer copy)<br>c. <input type="checkbox"/> Statement verifying identity of above copies |  |  |

|      | 1990 | 1991 | 1992 | 1993 | 1994 | 1995 | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 | 2028 | 2029 | 2030 | 2031 | 2032 | 2033 | 2034 | 2035 | 2036 | 2037 | 2038 | 2039 | 2040 | 2041 | 2042 | 2043 | 2044 | 2045 | 2046 | 2047 | 2048 | 2049 | 2050 | 2051 | 2052 | 2053 | 2054 | 2055 | 2056 | 2057 | 2058 | 2059 | 2060 | 2061 | 2062 | 2063 | 2064 | 2065 | 2066 | 2067 | 2068 | 2069 | 2070 | 2071 | 2072 | 2073 | 2074 | 2075 | 2076 | 2077 | 2078 | 2079 | 2080 | 2081 | 2082 | 2083 | 2084 | 2085 | 2086 | 2087 | 2088 | 2089 | 2090 | 2091 | 2092 | 2093 | 2094 | 2095 | 2096 | 2097 | 2098 | 2099 | 2100 |
|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|
| 1990 | 1991 | 1992 | 1993 | 1994 | 1995 | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 | 2028 | 2029 | 2030 | 2031 | 2032 | 2033 | 2034 | 2035 | 2036 | 2037 | 2038 | 2039 | 2040 | 2041 | 2042 | 2043 | 2044 | 2045 | 2046 | 2047 | 2048 | 2049 | 2050 | 2051 | 2052 | 2053 | 2054 | 2055 | 2056 | 2057 | 2058 | 2059 | 2060 | 2061 | 2062 | 2063 | 2064 | 2065 | 2066 | 2067 | 2068 | 2069 | 2070 | 2071 | 2072 | 2073 | 2074 | 2075 | 2076 | 2077 | 2078 | 2079 | 2080 | 2081 | 2082 | 2083 | 2084 | 2085 | 2086 | 2087 | 2088 | 2089 | 2090 | 2091 | 2092 | 2093 | 2094 | 2095 | 2096 | 2097 | 2098 | 2099 | 2100 |      |

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Prior application Information: Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_

## 17. CORRESPONDENCE INFORMATION

|                          |   |                                   |        |
|--------------------------|---|-----------------------------------|--------|
| Name                     |   | David R. Preston & Associates     |        |
| Address                  |   | 12625 High Bluff Drive, Suite 205 |        |
| City, State and Zip Code |   | San Diego, California 92130       |        |
| Country                  |   | USA                               |        |
| Telephone                |   | 858.724.0375                      |        |
| Facsimile                |   | 858.724.0384                      |        |
| Name                     | David R. Preston  | Registration Number               | 38,710 |
| Signature                |  | Date 12/12/01                     |        |

# Utility Application Transmittal Form

**FEE TRANSMITTAL**

Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

## Complete if Known

|                       |                  |
|-----------------------|------------------|
| Application Number:   | To be Determined |
| Filing Date:          | Herewith         |
| First Named Inventor: | Huang et al.     |
| Group / Art Unit:     | To be determined |

**Total Amount of Payment** \$ 586.00

Docket Number: ART-00106.P.1.1

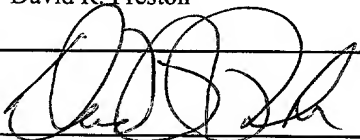
**METHOD OF PAYMENT**1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:Deposit Account Number: ☐Deposit Account Name: ☐☒ Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17 toDeposit Account Number 50132☐ Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance.2. ☒ Payment Enclosed☒ Check Number 1064☐ Money Order☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

|              | Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description        | Fee Paid  |
|--------------|-----------------------|-----------------------|-----------------------|-----------------------|------------------------|-----------|
|              | 101                   |                       | 201                   | 370.00                | Utility Filing Fee     | \$ 370.00 |
|              | 106                   |                       | 206                   |                       | Design Filing Fee      | \$        |
|              | 107                   |                       | 207                   |                       | Plant Filing Fee       | \$        |
|              | 108                   |                       | 208                   |                       | Reissue Filing Fee     | \$        |
|              | 114                   |                       | 214                   |                       | Provisional Filing Fee | \$        |
| SUBTOTAL (1) |                       |                       |                       |                       |                        | \$ 370.00 |

| 2. EXTRA CLAIM FEES   |              |          |              |              |  |                |        |           |
|---|--------------|----------|--------------|--------------|--|----------------|--------|-----------|
|   |              |          |              | Extra Claims |  | Fee From Below |        | Fee Paid  |
| Total Claims  | [ 44 ]       | -20 **   | Equals       | [ 22 ]       | Times  | [ 9.00 ]       | Equals | \$ 216.00 |
| Independent Claims  | [ 2 ]        | -3 **    | Equals       | [ 0 ]        | Times  | [ 42.00 ]      | Equals | \$ 0.00   |
| Subtotal (2)  |              |          |              |              |  |                |        | \$ 216.00 |
| ** or number previously paid, if greater, For Reissues, see below |              |          |              |              |  |                |        |           |
|   | Large Entity |          | Small Entity |              | Fee Description  |                |        |           |
|   | Fee Code     | Fee (\$) | Fee Code     | Fee (\$)     |  |                |        |           |
|   | 103          |          | 203          | 9.00         | Claims in excess of 20                                     |                |        |           |
|   | 102          |          | 202          | 42.00        | Independent claims in excess of 3                          |                |        |           |
|   | 104          |          | 204          |              | Multiple dependent claim, if not paid                      |                |        |           |
|   | 109          |          | 209          |              | ** Reissue independent claims over original patent         |                |        |           |
|   | 110          |          | 210          |              | ** Reissue claims in excess of 20 and over original patent |                |        |           |

| 3. ADDITIONAL FEES   |          |              |          |  |          |
|----------------------|----------|--------------|----------|--|----------|
| Large Entity         |          | Small Entity |          | Fee Description  | Fee Paid |
| Fee Code             | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 105                  |          | 205          |          | Surcharge - late filing fee or oath                            | \$       |
| 127                  |          | 227          |          | Surcharge - late provisional filing fee or cover sheet         | \$       |
| 139                  |          | 139          |          | Non-English specification                                      | \$       |
| 147                  |          | 147          |          | For filing a request for reexamination                         | \$       |
| 112                  |          | 112          |          | Requesting publication of SIR prior to Examiner action         | \$       |
| 113                  |          | 113          |          | Requesting publication of SIR after Examiner action            | \$       |
| 115                  |          | 215          |          | Extension for reply within first month                         | \$       |
| 116                  |          | 216          |          | Extension for reply within second month                        | \$       |
| 117                  |          | 217          |          | Extension for reply within third month                         | \$       |
| 118                  |          | 218          |          | Extension for reply within fourth month                        | \$       |
| 128                  |          | 228          |          | Extension for reply within fifth month                         | \$       |
| 119                  |          | 219          |          | Notice of Appeal   | \$       |
| 120                  |          | 220          |          | Filing a brief in support of an appeal                         | \$       |
| 121                  |          | 221          |          | Request for oral hearing                                       | \$       |
| 138                  |          | 138          |          | Petition to institute a public use proceeding                  | \$       |
| 140                  |          | 240          |          | Petition or revive - unavoidable                               | \$       |
| 141                  |          | 241          |          | Petition or revive - unintentional                             | \$       |
| 142                  |          | 242          |          | Utility issue fee (or reissue)                                 | \$       |
| 143                  |          | 243          |          | Design issue fee   | \$       |
| 144                  |          | 244          |          | Plant issue fee  | \$       |
| 122                  |          | 122          |          | Petitions to the Commissioner                                  | \$       |
| 123                  |          | 123          |          | Petitions related to provisional applications                  | \$       |
| 126                  |          | 126          |          | Submission of Information Disclosure Statement                 | \$       |
| 581                  |          | 581          |          | Recording each patent assignment per property                  | \$       |
| 146                  |          | 246          |          | Filing a submission after final rejection (37 C.F.R. 1.129(a)) | \$       |
| 149                  |          | 249          |          | For each additional invention to be examined (37 CFR 1.129(b)) | \$       |
| Other fee (specify): |          |              |          |  | \$       |
|                      |          |              |          | <b>Subtotal (3)</b>  | \$ 0.00  |

| 4. SUMMATION OF FEES |           |
|----------------------|-----------|
| SUBTOTAL (1)         | \$ 370.00 |
| SUBTOTAL (2)         | \$ 216.00 |
| SUBTOTAL (3)         | \$ 0.00   |
| TOTAL FEES           | \$586.00  |

| SUBMITTED BY          |   |                   | Complete (If Applicable)       |        |
|-----------------------|---|-------------------|--------------------------------|--------|
| Typed or Printed Name | David R. Preston  |                   | Registration Number:           | 38,710 |
| Signature             |  | Date:<br>12/12/01 | Deposit Account User ID Number | 50132  |

1033056403